

## **CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this correspondence for Application No. 09/775,002 is being electronically transmitted via EFS-WEB, on July 17, 2008.

/Scott A. Stinebruner/  
Scott A. Stinebruner, Reg. No. 38,323

July 17, 2008  
Date

## **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Patent No.: 6,965,874  
Issued: November 15, 2005  
Application No.: 09/775,002  
Filed: February 1, 2001  
Art Unit: 3625  
Examiner: Matthew S. Gart  
Inventor: Ron Joseph  
Title: METHOD, APPARATUS AND PROGRAM PRODUCT FOR  
FACILITATING TRANSFER OF VEHICLE LEASES  
Attorney Docket: SWAP-03  
Confirmation No.: 7478

Cincinnati, Ohio 45202

July 17, 2008

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **CORRECTION OF INVENTORSHIP**

The assignee of the entire right, title, and interest in the above-identified U.S. Patent hereby petitions to correct inventorship under 37 C.F.R. §1.324. The following documents are submitted:

- 1) written consent of the Assignee, Swapalease, Inc.;
- 2) an Assignment from NetVenture, Inc. to Swapalease, Inc.;
- 3) an Assignment executed by the inventor being added, Richard S. Joseph;

- 4) a statement under 37 C.F.R. §1.324(b)(1) by the added inventor, Richard S. Joseph;
- 5) a statement under 37 C.F.R. §1.324(b)(2) by the currently named inventor, Ron Joseph;
- and
- 6) processing fee of \$130 set forth in §1.20(b).

If any additional fees are necessary, please charge them to Deposit Account No. 233000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By: /Scott A. Stinebruner/  
Scott A. Stinebruner, Reg. No. 38,323

2700 Carew Tower  
Cincinnati, OH 45202  
(513) 241-2324 (voice)  
(513) 421-7269 (facsimile)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

STATEMENT OF THE ASSIGNEE	)
	)
U.S. Patent No.: 6,965,874	)
Issued: November 15, 2005	)
Application No.: 09/775,002	)
Filed: February 1, 2001	)
Title: METHOD, APPARATUS	)
AND PROGRAM PRODUCT FOR	)
FACILITATING TRANSFER OF VEHICLE	)
LEASES	)
Attorney Docket: SWAP-03	)
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**CONSENT OF ASSIGNEE TO ADD INVENTOR AND  
CERTIFICATE UNDER 37 C.F.R. § 3.73(b) -  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

1. The assignee of the entire right, title and interest hereby seeks to take action in the United States Patent and Trademark Office in this matter. The assignee of the entire right, title and interest agrees to the change in inventorship of the above-identified patent to add Richard S. Joseph as a co-inventor.

**IDENTIFICATION OF ASSIGNEE**

2. Swapalcase, Inc.  
Corporation  
  
9090 Colerain Avenue, Cincinnati, Ohio 45251  
Address

**PERSON AUTHORIZED TO SIGN**

3. Ron Joseph  
Chief Executive Officer  
Swapalcase, Inc.

[X] I, Ron Joseph, state that I am empowered to sign this statement on behalf of assignee.

#### **BASIS OF ASSIGNEE'S INTEREST**

Ownership by the assignee is established as follows:

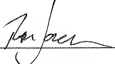
4. [X] An assignment from inventor Ron Joseph to NetVenture, Inc. of the matter identified above, which was recorded in the United States Patent and Trademark Office at Reel 018616, Frame 0889.
5. [X] An assignment from NetVenture, Inc. of the matter identified above to Swapalease, Inc. A copy of the executed assignment from NetVenture, Inc. to Swapalease, Inc. is attached, and is concurrently being submitted for recordation pursuant to § 3.11.

#### **CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Swapalease, Inc., an Ohio corporation, certifies that it is the assignee of the entire right, title and interest in the patent identified above by virtue of assignments of the patent identified above. In particular, an assignment from Ron Joseph was recorded in the Patent and Trademark Office at Reel 018616, Frame 0889, and an assignment from NetVenture, Inc. to Swapalease, Inc., a copy of which is attached and is concurrently being submitted for recordation pursuant to § 3.11, document the chain of title. An assignment for the added inventor, Richard S. Joseph, executed by Richard S. Joseph, is concurrently being submitted for recordation pursuant to § 3.11, a copy of which is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Date: 7-15-08

By: 

Printed Name: Ron Joseph

Office Held: Chief Executive Officer

## ASSIGNMENT OF INVENTION AND PATENTS THEREON

WHEREAS, I, Richard Joseph, an authorized officer of NetVenture, Inc., hereby represent that NetVenture, Inc. is the owner by assignment of an invention for a method for facilitating the transfer of vehicle leases as fully disclosed in United States Patent No. 6,965,874, and filed as an application on February 1, 2001, as Serial No. 09/775,002 preparatory to obtaining Letters Patent of the United States therefor.

WHEREAS, Swapalease, Inc. a corporation organized under the Laws of the State of Delaware and having its principal office in Cincinnati, Ohio, desires to acquire the entire interest in and to the subject matter disclosed in said patent and in and to all patents issued or to be issued thereon.

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar to NetVenture, Inc. in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I on behalf of NetVenture, Inc. have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Swapalease, Inc. the entire right, title and interest in and to the subject matter disclosed in said application and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union, and further including all rights and interests to remedies for infringement, both past and future; and I on behalf of NetVenture, Inc. do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said application and all future patents granted upon the subject matter disclosed therein to the above-named Assignee, its legal representatives and assigns.

**ASSIGNOR(S):**

NetVenture, Inc., 250 East Fifth Street, Suite 285, Cincinnati, Ohio 45202

Joseph Richard

Last Name First Name Middle Name

[Signature]  
Signature

6-20-07

Date

STATE OF OHIO )

) SS

COUNTY OF HAMILTON )

On this 20 day of June, 2007, before me personally appeared Richard Joseph to me known and known by me to be the above-named individual who acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

[Signature]  
Notary Public

(SEAL)

338857\_1



BETH DAVIS  
Notary Public, State of Ohio  
My Commission Expires 06-17-11

Docket No.: SWAP-03

Serial No.: 09/775,002

Filed: February 1, 2001

#### ASSIGNMENT

WHEREAS, we, Ron Joseph of 2777 Walsh Road, Cincinnati, Ohio 45208, USA, and Richard S. Joseph of 2887 Alpine Terrace, Cincinnati, Ohio 45208, USA, have invented certain improvements in **METHOD, APPARATUS AND PROGRAM PRODUCT FOR FACILITATING TRANSFER OF VEHICLE LEASES**, and described in a patent application executed the \_\_\_\_\_ day of \_\_\_\_\_, 2008, by the undersigned for filing in The United States of America, being owners of all right, title and interest in and to said application and in and to any invention described therein and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, SWAPLEASE, INC., of Cincinnati, Ohio 45251, a corporation of the State of Ohio (assignee) is desirous of acquiring the entire right, title and interest in and to said application and said invention described therein and any and all patents to be obtained therefor, all as hereinafter set forth:

NOW, THEREFORE, in consideration of good and valuable consideration received by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country, and further including all rights and interests to remedies for infringement, both past and future; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

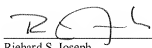
The undersigned further agree to execute all divisional, continuing, substitute, improvement, extension, reissue and other patent applications in this or any foreign country relating to said application or invention and to sign all other lawful papers and to perform all other lawful acts without further consideration, but without expense to myself (ourselves), which the assignee may deem necessary or desirable to make this Assignment fully effective including by way of example, but not of limitation, the following acts:

- (1) Prompt execution of all lawful oaths, affidavits and/or supplemental oaths required or deemed advisable by the assignee to further the prosecution of any application or applications for letters patent relating to the subject matter of this Assignment;
- (2) To cooperate to the best of our ability in the execution of all lawful documents, the production of evidence, and the giving of testimony in interference, opposition, nullification or infringement proceedings involving the said invention or improvement, applications or patents or any of them.

The undersigned hereby authorize said assignee to insert in this Assignment the serial number and filing date of the above-identified application when known.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and seal on the dates set after his signatures.

\_\_\_\_\_, (L.S.) \_\_\_\_\_, \_\_\_\_\_, 2008  
WITNESS Ron Joseph

\_\_\_\_\_, (L.S.)  \_\_\_\_\_, 7-15, 2008  
WITNESS Richard S. Joseph

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


STATEMENT OF RICHARD S. JOSEPH )  
)  
U.S. Patent No.: 6,965,874 )  
Issued: November 15, 2005 )  
Application No.: 09/775,002 )  
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Title: METHOD, APPARATUS )  
AND PROGRAM PRODUCT FOR )  
FACILITATING TRANSFER OF VEHICLE )  
LEASES )  
Attorney Docket: SWAP-03 )  
Examiner: Matthew S. Gart )  
Confirmation No.: 7478 )

**STATEMENT OF RICHARD S. JOSEPH UNDER 37 C.F.R. § 1.324(b)(1)**

I, Richard S. Joseph, hereby state and declare that the error in inventorship of the above-identified patent occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 7-15-08

  
Richard S. Joseph



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

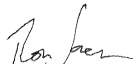
STATEMENT OF RON JOSEPH	)
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U.S. Patent No.: 6,965,874	)
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LEASES	)
Attorney Docket: SWAP-03	)
Examiner: Matthew S. Gart	)
Confirmation No.: 7478	)

**STATEMENT OF RON JOSEPH UNDER 37 C.F.R. § 1.324(b)(2)**

I, Ron Joseph, do hereby state and declare that I agree to the change in inventorship of the above-identified patent to add Richard S. Joseph as a co-inventor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 7-15-08

  
\_\_\_\_\_  
Ron Joseph